

MINUTES<sup>1</sup>  
UNIVERSITY SENATES CONFERENCE

DATE: Tuesday, September 17, 2013  
Wednesday, September 18, 2013

PLACE: Abraham Lincoln Presidential Library

PRESENT: Boltuc, Campbell, Chambers (Vice Chair), De Groote, Fadavi\*, Fisher, Francis, Kalita, Leff, Maher, Mallory, Miller, Mohammadian\*\*, Tolliver, Villegas (Chair), Wheeler\*\*\*

ABSENT: Deberry-Spence, Erricolo, Graber, Kay

GUESTS: Robert Easter, Avijit Ghosh, Kappy Laing, Christophe Pierre, Richard Wheeler

\*Participated by phone Tuesday afternoon and Wednesday morning.

\*\*Participated on Wednesday only.

\*\*\*Participated on Tuesday only.

Tuesday, September 17, 2013

Professor Jorge Villegas, Chair, called the meeting to order at 1:00 p.m.

I. Remarks from the USC Chair

Professor Villegas welcomed Vice President Pierre and Associate Vice President Wheeler to the meeting. He said that President Easter would join the meeting around 2pm.

II. Meeting with President Easter, Vice President Pierre, and Associate Vice President Wheeler

Dr. R. Wheeler said that the current SB1900 bill asks that each public university in the state put together a task force, to be appointed by its board, to create a proposed policy for open access to the scholarly articles produced at the university. The committee must consist of, but is not limited to: voting members from the university's library, faculty, a labor organization that represents faculty – if applicable, university administration, and each campus; and a non-voting member who is a publisher who publishes scholarly journals. The task force must be in place by January 1, 2014. The task force must adopt its report, which should focus on how to best further the aims of open access at the University, and submit the report to the Board of Trustees before January 1, 2015. Dr. R. Wheeler commented that other universities have policies in place that seem to work well. The University of California and the University of Michigan are two examples.

---

<sup>1</sup>Subject to approval at the University Senates Conference meeting of October 22, 2013

Dr. R. Wheeler said that it is important to meet the mandate, which is coming from the legislature, while preserving the freedom and empowerment of the faculty to determine the process and outcome. The Board will delegate to Vice President Pierre the responsibility of constituting the task force that will meet the requirements set by the legislature. However, Dr. R. Wheeler said that he believes the actual building of the policy should come from the faculty. He suggested that the Conference put together a committee to research what other universities have done and then come up with a policy that meets the needs of the University of Illinois. He thought that it would make sense for the committee to put together a policy, transmit it to the senates for consideration, bring the policy back to USC for approval, and then submit the policy to the task force. While the task force will be approved by the Board at its November meeting, USC could constitute its committee before then. He added that the task force would work exclusively with the committee.

Professor Chambers recommended starting with the University of California policy as a basis for the U of I's policy. Professor Miller said that she and Professor Tolliver gave a report on open access to the CIC Faculty Members group, which might be helpful. Professor De Groote commented the University is farther along on open access issues than most people realize. She suggested the UIC Librarian as a source of information. The UIS Librarian, the UIUC Librarian – who came from Michigan, and the Grainger Engineering Librarian were also suggested as sources of information.

Concerns were raised with the intellectual property aspect of open access research publishing. Professor M. Wheeler commented that the mandate could squash innovation. Sometimes a patent takes several years to be approved and the research articles have to be held during that time. Dr. R. Wheeler responded that some policies have an opt-out provision that is approved as long as a reason is provided. In response to another question, he said that for joint publications, as long as one of the authors has deposited the article, there only needs to be a notification from the other author or authors.

Vice President Pierre said that some units may have to set up their own policies within the framework of the policy that the University adopts. The University of California policy is appealing because it sets the framework in broad terms and allows exceptions. Professor Maher commented that the outcome is not dictated by the bill passed by the legislature, but rather the bill defines what the task force must study and what questions should be answered.

Professor Fisher thought that the University of California did a good job of targeting the areas where open access can really help scholars. In addition, she suggested that the Conference ask the campuses for advice on who should be a part of the task force and committee.

Dr. R. Wheeler asked the Conference to be mindful of the deadline.

Vice President Pierre commented that academic program review includes sheets of indicators that will be used to help campus units in their reviews. Dr. R. Wheeler explained that the indicators will be very different from campus to campus and will provide a glance assessment of each unit. One aspect of the review is to look at the revenue/expenditure relationship; where the money comes from that pays for the operations of each unit. He said that he would like for the Senates Conference to see the final lists of indicators before the process becomes operational.

Vice President Pierre said that he is working with the campuses to set priorities and create incentives. President Easter commented that the overarching strategic plans of the campuses would be presented to the Board in January. He discussed the University Administration review. The overall budget process will be more focused on and driven by academics.

President Easter mentioned some of the qualities that would be important to find in the next president of the University: solid academic credentials, experience with the legislative component, multi-dimensional experiences at a university, nationally respected, able to communicate the vision and have a sense of the future, and being effective in faculty governance. He said that it is the role of the chancellors to take care of the day-to-day business of the campuses. The president is the public face of the university. University Administration provides services to the campuses and sets overarching policies.

Dr. Avijit Ghosh, Senior Advisor to the President, discussed the various administrative structures that are being considered for the University's health enterprise. The correct structure should reflect the strategy plan for achieving the optimal goals. The health care environment is changing very fast, so the structure must allow the University to adapt and be a leader. The different colleges should work together to provide leverage to the whole operation. There needs to be an alignment of the clinical missions and the academic missions, which will be one of the biggest challenges. If there is not a clear financial model, there might be a misalignment of the missions and a lack of progress.

Dr. Ghosh said that the Huron report presents four models for the organizational structure. The first option maintains the current structure with the Vice President of Health Affairs reporting to the president and overseeing the hospital, clinics, and care delivery and the health science deans reporting to the provosts. The second model aligns all components of the health enterprise under the Vice President for Health Affairs, essentially creating a west campus. The third model aligns all components of the health enterprise under the position of Vice Chancellor for Health Affairs, which would report to the chancellor. The VCHA would become the virtual "health provost" for the health science colleges. The fourth model aligns all components of the health enterprise under a single combined position of the VPHA and Dean of the College of Medicine. The third model is gaining interest.

Dr. Ghosh explained that the clinical faculty have the responsibilities of both faculty and physicians. The practice plan describes what they earn as physicians and is under the jurisdiction of the colleges. Because the clinical aspect contributes to the overall budget of

the University, the Board and the President cannot be hands-off. The idea was conceived to have a President's Health Advisory Group under the third option, since this option removes the position of VPHA, which reports to the president. Dr. Ghosh said that, once there is agreement on which structure to use, the focus will move to implementation and sorting out any problems. He clarified that the Urbana people would continue to report to the regional College of Medicine Dean in Urbana. President Easter said that he would like advice from the Conference in regards to the proposed structural changes.

President Easter said that he has met with the chancellors to discuss the 47 recommendations in the University Administration review. One of the critical issues is the UA budgeting process.

President Easter commented that the Board is interested in a process for the development of successors. Events have been held to engage those who have the potential to assume higher positions.

The group briefly discussed a process that might be used for the Conference to review the vice presidents.

The meeting adjourned for the day at 3:30 p.m. and resumed on September 18 at 9:00 a.m.

### Wednesday, September 18, 2013

Professor Jorge Villegas, Chair, reconvened the meeting at 9:00 a.m.

#### I. Meeting with Chancellor Susan Koch

Chancellor Koch commented that the UIS campus is very young. The university began in 1969 as an upper level institution for juniors and seniors, and later added masters programs. In 1995, Sangamon State University became the third campus of the University of Illinois. In 1999, the campus added a freshman class and became a four-year institution. UIS has a national reputation for online education and currently enrolls 1600 students in graduate and undergraduate online programs. There are only 22 undergraduate programs on campus, almost the same number of master's degree programs, and one doctoral program in public administration. UIS has strong reputation for serving non-traditional students. There was a robust increase in enrollment this year; up 100 students for a total of 5137 students. 1000 students live on campus. This year is the largest and most diverse group of students the campus as ever had.

This is Chancellor Koch's third year as chancellor. She outlined three priorities: growth, acquisition and retention of talent, and facilities. Before she came to UIS, an enrollment goal of 6000 students was set. There is a highly competitive environment for attracting students. The quality of the freshman class has gone up. The campus does not have a lot of research revenue and does not raise much money, so enrollment drives the revenue. Chancellor Koch said that the number one reason people decide not to attend UIS is

because the campus does not have the programs they want. She said that the campus needs to have more student life. She described the plans for building a student union, which is desperately needed on campus.

Chancellor Koch commented that these are tremendously challenging times for higher education. One of the challenges for UIS is that it is thin in infrastructure and resources, both in people and funds. However, she is very optimistic about UIS's future. There are great teachers and appropriate research agendas. She believes in shared governance and the sharing of responsibilities in order to live up to the aspirations set for UIS. If united, Chancellor Koch believes that UIS will get through all of the challenges and have something to be very proud of.

Professor Villegas proposed postponing the committee meetings to later and moving into the business meeting. Professor Chambers made a motion as such, which was seconded, voted on and approved.

## II. Approval of University Senates Conference Minutes of August 22, 2013

The minutes were approved as written.

## III. Classification of Senate Minutes

Class I:	Matters of policy affecting one campus only. Item is sent to the President and Board of Trustees for action.
Class II:	Matters affecting more than one campus. Item is sent to Senate(s) for action, then to President and Board of Trustees. At the time of this classification, the Conference member will file with the recording secretary an accurate final copy of the Senate action.
Class III:	Amendments to the <i>University of Illinois Statutes</i> . Procedure is the same as with Class II items. At the time of reporting this classification, the Conference member will file with the recording secretary an accurate final copy of the Senate action.
Class N:	This designation requires no USC action, but alerts one or more Senates to an item of interest from the originating Senate. The "N" is preceded by and followed by a lower case letter(s); c = Chicago; s = Springfield; u = Urbana-Champaign; usc = University Senates Conference. Example: "cNs,u" means that a matter has come up in the Chicago Senate, which may be of interest to Springfield and Urbana-Champaign.

A. The following items were classified I by the University Senates Conference:

1. University of Illinois at Urbana-Champaign, September 16, 2013

- EP.13.40 Proposal from the College of Education to establish a non-licensure Bachelors of Science in Learning and Education Studies with concentrations in: 1) Applied Learning Science; 2) Educational Equality and Cultural Understanding; and 3) Workplace Training and Development.
- EP.14.01 Revisions to the 2013-2014 Academic Calendar
- EP.14.02 Proposal from the School of Social Work to establish an undergraduate minor in Social Work
- EP.14.03 Proposal from the College of Engineering and the Graduate College to establish a Bioengineering concentration in the Master of Science Bioinformatics
- EP.14.04 Proposal from the College of Education and the Graduate College to terminate the Doctorate of Education (EdD) in Special Education
- EP.14.05 Proposal from the College of Education and the Graduate College to terminate the Doctorate of Education (EdD) in Human Resource Education
- EP.14.06 Proposal from the College of Fine and Applied Arts to establish an undergraduate minor in Art and Design

Explanation of File Numbers

ST - University of Illinois *Statutes*

GR - *The General Rules Concerning University Organization and Procedure*

NC - Nominating Committee

OT - All other items

IV. Old Business – Action Items

None.

V. New Business

## 1. Designation of Observer of Board of Trustees Meeting and Faculty Report:

November 14, 2013 (Thursday)  
Faculty report:

Springfield

Jorge Villegas  
Donald Chambers

2. OT-303. USC Statement on UIC Union Negotiations.

Professor Villegas commented that the Executive Committee discussed how the lengthy contract negotiating process at UIC is hurting faculty morale, causing faculty to leave the University, and could potentially damage the University. The committee decided to bring the idea to the full Conference of writing a statement in support of the faculty.

While the Conference members were concerned about their colleagues at UIC, there was concern that a public statement could be misunderstood. Conference members encouraged the UIC members of the Conference to engage the UIC faculty members and UIC Senate in getting involved and communicating the principles that guide the negotiations. If the principles are being abused, then the Conference could make a statement.

VI. Old Business – Information and Discussion Items

3. ST-72. Revisions to the *Statutes*, Article X, Section 2 (Academic Freedom). Passed UIUC Senate 12/6/10. Passed UIC Senate 9/22/11. Passed UIS Senate 12/14/12. Transmitted to the President 1/18/13.

Professor Tolliver said that the Statutes and Governance Committee would be discussing the next step when the committees break into groups and will hopefully get closer to a resolution.

4. ST-79/OT-296. Proposed revisions to the *Statutes*, Article XIII, Section 8 – to authorize the University Senates Conference to initiate revisions to the *Statutes*. Approved by USC 1/17/13. Transmitted to Senates 4/5/13. Revised language approved by USC 6/18/13. Transmitted to Senates 6/21/13.

Professor Villegas commented that the senates are considering the proposed revisions.

Professor Fisher said that the UIS Senate has a lot of questions about whether the changes would imply a policy-making responsibility for the Conference, which it currently does not have in the *Statutes*. She commented that only the Board and the senates can currently propose amendments to the *Statutes*. Professor Maher said that the UIUC Senate University Statutes and Senate Procedures Committee has reservations. He thought that it might make a difference if USC provided a draft text for all three senates to consider in the usual process. USSP will look at modifications that might make the proposal acceptable.

5. ST-77/GR-46. Revisions to the *Statutes* and *General Rules* – Edits and Updates.

No new information.

6. OT-298. Shared Governance in a Changing Environment.

No new information.

7. OT-300. USC Guidelines on Transparency, Confidentiality, and the Conduct of Business.

Professor Villegas commented that a document had been approved at the August meeting. Professor Francis moved to remove this item from the agenda. The motion was approved.

8. OT-223. Annual Review of the Vice Presidents.

The Conference asked the Executive Committee to decide which vice president to review in-depth.

9. OT-265. Academy on Capitalism and Limited Government.

No new information.

10. OT-288. Faculty/Staff Pension and Benefit Issues (formerly Resolution on Pensions/Pension Reform).

No new information.

11. OT-297. Implications of the Open Meetings Act to the operations of the University Senates Conference.

No new information.

12. OT-301. Records and Information Management Services (RIMS).

No new information.

13. OT-123. University Senates Conference guests.

Guests to invite were discussed.

14. Campus Updates.

Professor Campbell said that a UIUC Senate task force met over the summer and produced a document in which some of the ideas might be carried forward to the entire University for discussion. Professor Tolliver commented on a shared governance survey that was distributed to UIUC faculty. The survey focused on shared governance at the department and college level.

VII. USC Committee Meetings

Committees met from 11:30 a.m. to 12:00 p.m. The meeting recessed for lunch and resumed at 1:00 p.m.



VIII. Meeting with Kappy Laing, Executive Director of Governmental Relations

Ms. Laing attended by videoconference. She said that there are two parts to the open access issue: federal and state. The federal level open access bill has been in the works for the last six years. On the state front, SB1900 was passed. Thanks to the faculty, the bill was reduced to a requirement for each state university to create a task force to study and report on open access. The task force, which is to be appointed by the Board, must be in place by January 1, 2014 and must report back to the legislature by January 1, 2015. The University was approached by representatives of the publishing industry. She and Dr. R. Wheeler will be meeting with them to discuss their concerns.

Ms. Laing reported that there has been no progress on procurement relief. A bill was defeated at the end of May which would have transferred the normal costs of pensions to the University. The bill also contained substantial regulatory relief. A legislative pension committee is working on a proposal to put forward, but procurement issues will be dealt with separately. Ms. Laing said that she would distribute reports that were issued a while back on unfunded mandates. In response to a question, she also indicated that she would provide last year's report on budgeting for results.

(VI. Old Business – Information and Discussion Items – continued)

15. Report of Observer of Board of Trustees Meeting:

September 12, 2013 (Thursday)	Urbana	Carol Leff
Faculty report:		Jorge Villegas

16. Reports from USC Committee Chairs:

Academic Affairs and Research Committee	Matthew Wheeler
Finance, Budget and Benefits Committee	Peter Boltuc
Hospital and Health Affairs Committee	Donald Chambers
Statutes and Governance Committee	Joyce Tolliver

Each committee reported on the agenda items that are being considered for this year.

AARC: Professor Miller

Tuition, enrollment management, diversity of faculty and students, MOOCS, faculty development, post-tenure review in the form of advice from peers, and the new law on the rehiring of faculty.

FBBC: Professor Campbell

Meet with campus budget committees, talk to executive officers, become informed about new and moving budget items and line item budgeting, how to engage the faculty and senates in budget discussions, and result based budgeting.

HHAC: Professor Chambers

Review proposals being considered for administrative restructuring and offer advice, liberal arts and medical education, and report on medical education to the Board.

SGC: Professor Maher

Advice to the President on the proposed statutory changes on academic freedom (ST-72), monitor activities of the senates on the proposed statutory changes to allow the Conference to initiative changes to the *Statutes* (ST-79), the overall *Statutes* and *General Rules* initiative, RIMS, and advice on what is needed in presidential leadership.

17. Membership on the Academic Affairs and Research Committee.

Professor Chambers indicated that he would resign since he is on two committees and therefore cannot attend the AARC meetings since he chairs HHAC. After discussion, Professor Chambers decided to stay on the committee unless another UIC member wants to take his place.

18. OT-142. Updates on External Committees: Common Application Consortium (Graber); Enrollment Management Policy Council (Leff); Pre- and Post-Award Research Money Policy group (Wheeler); Strategic Communications and Marketing Council (Villegas); UI Labs Task Force (Chambers); University Technology Management Team (Campbell); Vice President for Academic Affairs Faculty Advisory Committee (Chambers, Mohammadian, Villegas); Vice President for Research Faculty Advisory Committee (Boltuc, Chambers, Wheeler).

No reports.

19. *Ad hoc* Committee on SB1900 (Open Access to Research Articles).

Professor Villegas summarized what the Conference had heard yesterday and today regarding open access research publishing. The Board has to decide the composition of the task force by January 1. USC has been asked to provide advice. It was also suggested that USC create a committee that would work with the task force and write the report that will go to the Board.

Professor Francis suggested that USC co-op the entire process by endorsing a policy in place, such as that of the University of California's, and send it to the senates.

Professor Chambers commented on the open access discussion held during the recent VPAA FAC meeting. There is the intention to have Vice President Pierre be named in charge of the process outlined in SB1900. It would be best to keep it academic; have a faculty work out all of the details and report back to the task force. The UC-Berkeley document and the University of Michigan documents are good starting points. Any policy on open access must be in compliance with NIH rules.

Professor Francis made a motion for USC to look at the University of California document and make any adjustments necessary so that it applies to the University of Illinois, and then send it to the senate executive committees for discussion in the senates.

Professor Fisher suggested reaching out to campus people who have worked on open access issues. Professor De Groote commented that some people do not understand open access and believe that publishing rights are taken away. She suggested thinking of ways to educate the campuses. Professor Maher thought that using the University of California document as a base document was a good idea. He commented that the UIUC Senate previously considered an open access policy and it was not approved. He was concerned about the timeline. Professor Villegas suggested appointing a committee to work on the document. Professor Campbell agreed that the senates should review the UC document. He also agreed that Vice President Pierre should lead the efforts to comply with SB1900.

Professor Francis moved for the Conference to adopt the California model as a basis for its mandated recommendation of the formation of a task force. Professor Miller said that some modifications would need to be made, such as use of the term academic senate. Professor Mallory thought that other documents should be considered in addition to the University of California document.

Professor Chambers clarified that the Board would designate the point person at the November meeting. Professor Fisher said that she understood that the Board would appoint the task force at that time as well.

Professor Francis made the motion that the University Senates Conference favors having the Vice President for Academic Affairs lead the efforts of the University in complying with SB1900. The motion was seconded, voted on, and approved.

Professor Campbell restated the earlier motion made by Professor Francis. The University Senates Conference believes that the University of California policy on open access could provide a useful model for an open access policy for our University and recommends that USC use it as a basis for compliance and recommends that it be sent to senates for discussion. The motion was seconded, voted on, and approved.

Professor Fisher made the motion that the University Senates Conference recommends that the Task Force on open access consist of faculty representatives from each campus, to be chosen based on consultation with each senate, and also consist of representatives from each campus library. The motion was seconded, voted on, and approved.

## IX. Adjournment

The meeting adjourned at 2:45 p.m.